

# ***Adaptive Management Workshop Session Transcripts***

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Auburn Conference Center  
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## **GARP**

### **1. Membership**

Stakeholders must be identified and represented. I note that some groups are not represented

GP: New stakeholders should not expect process to start over. The process has begun

Stakeholders for future project

Membership should include anyone that believes they have something at stake that could be potentially affected by the outcome.

*\*\* GP: All stakeholders should commit to stay engaged*

How do we ensure that the membership selected for this group reflects the decision-making process in the real world? EG. How do we recognize that there are certain regulations and people that are going to carry veto power? Can we weight our membership to reflect these realities?

*\*\* ESA examples cited here. How does this play into the decision process? The model will contain broad representation, but can not solve every problem. It's a starting point for further refinement and development, not a finished product.*

*\*\* Core or fringe issue?*

*\*\* If regulatory component trumps other interests this could become critical. To be determined in our object evaluation segment*

*\*\* Need to ensure we include representatives who have regulatory veto power: ESA Service/FERC/others. Got to consider this list carefully to be certain it is complete and inclusive.*

The middle Tallapoosa group does not appear to be represented

Georgia Power?

State & federal natural resource management agencies

Does this group include owners of land along the river reach?

We need to identify the problem(s) we want to address. If you have not been a part of the process you don't have a good idea of what the process is about.

Recreational boating groups

East Alabama Regional Planning Commission

Emerald Mountain Triangle (realtors)

All city and county governments of Clay, Randolph, Tallapoosa (river region)

If some interested group is not represented today, it is their own fault because this process has been ongoing and there was ample information and time for any interested



parties to participate

Municipalities.

Consumptive & nonconsumptive recreational users of the river

Membership should be based on

- A) Who wants to be a part of the governing structure,
- B) Inclusionary group representation (are some groups not included . . . river residents, for instance?!?),
- C) A vote that should be taken for representation on governing body after nominations are made . . .

Fishing organizations

Chambers of Commerce

Office of Water Resources

State Fisheries Division

River recreationists (i.e. canoe fans, fishermen)

Lake homeowners

Chamber of Commerce

Clean Water Partnership (Rep) for Middle Tallapoosa Basin

APC

FERC

Middle Tallapoosa Conservation Association

Alabama Office of Water Resources

As a tech person, I am here to provide tech input on adaptive mgmt, and as an observer (to learn more about how to develop goals and dm processes in other problems). So I don't know that I really have a vote on how the process should work. I do have some opinions.

1. A core group of stakeholder needs to be identified and included.
2. A tech group might also be included either formally or on an ad hoc basis. These would not necessarily be voting' members
3. Since I haven't been part of this process I can't really comment further about who should or should not be included.

Speaking of who might not be here today . . . it might be interesting to first hear how current attendees heard about this workshop.

Membership should be an evolving process and never be exclusive. Membership can include multiple individuals from a particular agency or group, keeping in mind that some agencies may often be over represented because some agencies or groups have more resources (e.g. staff and money).

All stakeholders effected by the operations of Harris Dam. Some of whom would be Alabama Power Company, Fish and Wildlife Service, Alabama Department of Conservation and Natural Resources, Lake Harris Homeowners, Property owners downstream of Harris Dam, Local and State economic development authority, local



businesses that may be affected by a change in reservoir operations, local county commissioners and mayors.

Membership:

1. Representative of Randolph County Commission
2. Representatives of Towns of Wedowee and Wadley
3. Randolph County Chamber of Commerce
4. Randolph County Industrial Board
5. Education community of Randolph County

Should the Army Corps of Engineers be involved in the early development of this discussion?

There needs to be an identification of key stakeholders for the decision making process, however, all interested parties are invited to the table. The "carp type issue" given as an example will slow the process down. How do we allow these ideas to flow but keep the main goal in focus?

The Army Corps of Engineers should definitely be involved in the process.

The Upper Tallapoosa Watershed Committee has representation here and should be included.

Facilitation, facilitation, facilitation . . .

As a "stakeholder" it is your responsibility to be here, if you are not here and playing an active role should your voice be recognized?

How are stakeholders that represent large groups vote? One vote? Many votes?

Many of the stakeholders have "real jobs" and can not get away for a three day meeting. They still should be included!!!

I heard about this workshop because I was asked by colleagues to attend to provide tech input. I do think that stakeholders should be included (invited) at any time. just because someone didn't participate up till now should not be grounds for exclusion-- quite the opposite-- BECAUSE 1) players change, 2) word spreads 3) some stakeholders might have felt marginalized and need to be reached out to 4) if you don't include them & they are critical they will not support decisions made by the group & may attempt to derail them

Alabama Power Company

Membership definitely should not be exclusionary; however we have seen over time that common goals and objectives exist among stakeholders and large groups without facilitators are not productive. Sub-groups or committees need to be formed.

What "authority" would be designated to implement or enforce the adaptive management plan agreed upon?

Rate payers and stockholders of APC

It is hard to set aside time for such meetings. what about doing some of this remotely (via the internet)? tech-wise we should be able to if needed sit in our offices and type in

responses just like we're doing here

Do we double count stakeholders because they are members of two or more user-groups?

*\*\* Open door membership concerns were expressed. We wouldn't want participation from other States*

*\*\* Members should be directly affected*

*\*\* What's "directly affected?" how should this be defined?*

*\*\* Proximity, use or benefit? AL/GA, or property owners?*

*\*\* Recreational/ownership/use/economic interests are possibilities*

*\*\* Agency/NGO interests*

*\*\* Membership within the State*

*\*\* Shareholders to industries (very indirect connection)*

*\*\* Agency/NGO with direct mgmt or interest in these natural resource issues (BASS/Trout Unlimited/others)*

*\*\* Consider choosing to expand the group gradually*

*\*\* Is this an "invite only" group, or should there be some limits?*

*\*\* Citizens of 2 States*

*\*\* Economically affected parties*

*\*\* Landowners*

How many of the attendees today are employed by Alabama Power. If many, will their input today skew the process?

*\*\* What about including shareholders in the group? Discussion thoughts:*

*\*\* Direct customers would be a better criteria: the people who will have to pay for decisions*

*\*\* Consider the possibilities of scope creep here: could be virtually unlimited (rate increase concerns)*

*\*\* Customers of marina/fishing guides*

*\*\* LOCAL CONSUMING CUSTOMERS may provide an alternative*

Would the actual customers have to be involved, or could it just be an objective of the power co. to minimize rate increases to customers?

*\*\* Use Alabama customers to replace local in our wording*

*\*\* County Commission model? Is this a potential blueprint for governance?*

*\*\* This gets complex quickly...we need some sort of Board/trustee/Executive Committee that makes the actual decisions/votes. Representative opinions need to be funneled through stakeholder groups.*

*\*\* Board/Authority to represent key stakeholders recommended. this would include input from affected interest groups*

- \*\* *Should meetings be open to interested parties even if they can't vote?*
- \*\* *What would a Board/Authority look like?*
- \*\* *Appointed by affected interest group (NGO/homeowners/property owners/Industry)*
- \*\* *The group recognizes them as a representative (no lone rangers, please)*
- \*\* *Agencies who work in the area*
- \*\* *Specifically impacted groups (fishing guides, marina operators)*
- \*\* *Customer representatives (AL Power/Forestry)*
- \*\* *Staggered Boards in uneven numbers to enable tie breaking*
- \*\* *Let the Board appoint a Chair to head it up*
  
- \*\* *Open-ended public participation and input have to be considered*
  
- \*\* *Distinguish between technical input and decision making here. The policy and management group might be separate from the technical review team and model builders from yesterday's presentations*
  
- \*\* *Technical Working Group? Could separate the decisions from the science.*
  
- \*\* *Science HAS to be included in Board make-up. An Auburn rep or other specialist would almost have to be a Board member.*
  
- \*\* *A neutral ombudsman, familiar with the biology should be on the Board. Concerns about "lowest common denominator" solutions if the Board is made up ONLY of affected interests.*
  
- \*\* *Consider a Board Trustee who would represent the Biology/Ecology exclusively to address this concern and potential conflicts of interest.*
  
- How formal should the structure of the board or authority be?
  
- What would the board/authority be empowered to do?
  
- \*\* *Should an Economist be a designated Board slot? User impact/economic implications would be this perspective. YES! says Willard*
  
- \*\* *Agricultural specialty.*
  
- \*\* *Economist should also be a neutral person, not representing a specific interest group.*
  
- \*\* *Biologist/Economists as Board members or Technical Working Committee: where are they most appropriately positioned?*
  
- \*\* *Scientist/Economists as non-voting trustees discussion? Would they really be expected to be impartial or non-involved? Do these roles/responsibilities belong on the Board or as a component of some other Technical Working Committee.*
  
- \*\* *Make this decision as the process needs become clearer. Board members or Technical Advisors? We're leaning more in the direction of TWG*
  
- \*\* *Broader Board (15-20 members would encourage compromise)*
  
- \*\* *Calls*



*\*\* Board*

*\*\* Open forum for stakeholder input and comment*

*\*\* Decisions will be made by a Board*

*\*\* TWG will be comprised of technical advisors/biologist/economists*

***\*\*TIME/TALENT/TREASURE DISCUSSION***

*\*\* ALP has committed to providing a facilitator to move the process forward. ALP has also committed to assisting with funding biological studies to HELP resolve key UNCERTAINTIES that are resulting from the model.*

ALP will also share hydrological modeling results as well as any independent scientific analysis of existing or future modeling

*\*\* Certain Agencies can play because they are mandated to be at the table.*

Research, fish inventories can be built into ongoing monitoring and survey efforts. USFWS, USGS can participate.

*\*\* County assets: time to play. What about staff or other warm bodies? All of this is contracted out, some planning consulting expertise may be leveragable*

*\*\* NGO: can commit to seeking additional funding sources as well as time/talent*

*\*\* Board membership is connected to bringing SOMETHING to the initiative. Should we make this a requirement (to the degree they can) for participation as a GP?*

*Show up*

*Bring time/talent/treasure you can*

*Membership means more than being there*

*Each Board member is represented/selected by some group/team;*

The board should be a two pronged structure: a.) advisory members(large in scope); and b.) voting members(limited in scope).

***2. Rules of Engagement: How will we work together?***

By consensus, not a majority rule in order to ensure that no one is excluded. Everyone gives a little to get to a solution that all can accept.

Listen to what people are saying and don't interrupt

Need to define who the whole are if the needs of the few are not to be met at the expense of the whole

There are legal statues which will have be recognized as possible scenarios are discussed.

*\*\* Law/regulatory mandate and economic realities of each stakeholder must be paramount*

*\*\* The Board does not supersede any of these for any partner*

Facilitation will be important

We need to define the limits of the process in terms of the scope of the "issues" there are overriding things such as the status of the water compact negotiations, West Georgia reservoir, which can have direct impact but are beyond the reach of any stakeholder participating in this process

*\*\* GP: recognize by all participation that while we're making evaluations and exploring alternatives other "shaping forces" are out there too. i.e. the MOU between GA/AL specifies development of a drought mgmt plan. Outside events will have to be incorporated into our thinking and models. We have to be real about this.*

Realize that stakeholders sometimes have constraints due to their respective agency/organization.

Clear opportunities for each stakeholder group or their designate to voice their position. It's important that values not be discounted because they aren't science-based.

Nothing brought out today will be new. Issues were discussed and voices heard during the licensing process.

Stakeholders/members need to commit to looking at issues objectively, considering various views and evaluating possible solutions. Avoid "us" and "them" posturing, and look for solutions that meet stakeholders objectives to the maximum extent practicable.

Not let current practices (policies) limit possible alternatives

*\*\* Are recommended changes to regulatory policy a possible outcome?*

*\*\* This is certainly a possibility for the Board to have effective impact*

*\*\* This won't change NEPA/ESA, but may be able to influence other constraining parameters*

There needs to be a clear definition how it will be determined when a particular group's position is "out of bounds." That is, when someone is asking the unreasonable, unethical, or illegal.

*\*\* Ideas for this: (out of bounds concept?)*

*\*\* Ignoring ESA*

*\*\* Draining the lake to maintain minimum flow*

*\*\* We recognize that extreme win/lose solutions will be totally appropriate. Balancing benefits to all stakeholders must be considered*

It is important that we recognize how we got here, that is, how and why was R L Harris developed the way that is was and the regulatory processes that were in place at that time. Equally important is what has changed 'regulatory' and otherwise since R L Harris was developed.

There will be new and good ideas brought out today. We must stay open minded, and sometimes take leaps of faith, for this process to truly work and be adaptive.

Facilitation, facilitation, facilitation

Be open-minded and give everyone an opportunity to express there needs which they would like to see met and the needs that must be met.



Everyone needs to listen to others and attempt to understand their needs and goals for the lake and downstream river

We need to set bounds on issues and limits of operations

Do we create a forum where everyone gets to voice an opinion, or the representative gets to voice an opinion? Too many voices can be problematic, time-wise.

*\*\* Ground rules and requirements to play include....*

*\*\* Adequate time for data analysis and fully evaluate all available science before making choices (Service)*

*\*\* If new data becomes available, all parties will have adequate time to assess it before any decisions are made as fairly as possible*

*\*\* Board members must be active participants or dropped from the Board. Need guidance for replacing non-participating Board members. They have to show up prepared and not miss more than 2 meetings.*

*\*\* Caveat that if/when somebody has to be replaced, they come from the same organization or interest group*

*\*\* The amount of time required for participation has to be defined up front so Board members can know what to expect. 3-day workshops are tough for many to attend.*

*\*\* Structured agendas, clearly communicated with clear expectations of roles/responsibilities*

*\*\* What will be our policy on alternates?*

*\*\* This can be essential for securing continuity.*

*\*\* Some mechanism should be provided (Homeowners)*

*\*\* The key is to avoid wasting the time of other Board members...alternatives have to be grounded in concepts to play*

### **3. Decision Making**

There are myriad issues to discuss that are equally important. What will be the process for determining that we have had enough discussion, and that it is time to ask for a vote/consensus?

Before determining percentage of group agreement necessary to make any particular decision (i.e., 80% agreement), we must agree that all stakeholders are equally represented

As many stakeholders as possible with a 75% or better agreement

Is 75% a realistic goal???

Some stakeholders have asked others to make decisions for them

Do not need to vote to reach a decision.

Each party should recognize that there are outer "limits" for each group and decisions which completely ignore those cannot be successful a decision must be one that can be



moved forward.

If we don't use voting to make decisions, how will decisions be made in a way that incorporates values and needs of all stakeholders?

We cannot set a % number for decision making. It is necessary that decisions pass the test of legal and physical constraints

We need to include only those elements that are relevant to the decisions at hand. e.g., upstream land practices may influence communities, but are not under the control of decision makers downstream.

If we do not vote at least for representation on a governing body, then who gets to make the decisions?

Consensus. Not by vote. Everyone strikes a reasonable compromise.

Consider incremental change as an option.

This not a popular vote process.

Decisions on some key issues will require consultation with higher levels within the agency before committing resources or to an agency position.

We may not be able to have a percentage and a final vote if a particular decision goes against an agencies legal requirements (e.g., species protection) or abilities (e.g., drain the lake to maintain minimum flow)

Avoid mechanisms that might let one stakeholder group load the deck.

Incremental change can be an option, but it is still a change, which may require consensus

APC knows the numbers of flow and economic impact . . . they need to share them with everyone . . . what numbers can they live with v. the best positive reinvestment of biological life.

Reaching a decision will be different for each project. I don't think that rigid percentages can be set.

Impacts to support of Navigational and downstream flows as well as flood control purposes at R L Harris needs be recognized.

Potential reservoir operational changes need to consider the impacts or enhancement to the transition from winter pool elevation to full summer pool.

**\*\* WHAT IS A DECISION AT THE BOARD LEVEL ?**

=====

*\*\* If it's fundamental at odds with any Board member (ESA/legal requirements/NGO charter) the decision has different weight than other choices/selections the Board will have to make.*

**\*\* If it's not legal or possible, the Board can't outvote itself, or membership**



*\*\* Defining different levels of Board decision making recommended*

*\*\* For routine decisions: (opinion/model choices based on science)*

*A simple majority should be adequate (half + 1)*

*Decision making around the model and "level one" decisions*

*Is this too low a level of consensus...it seems weak to some*

*2/3rds majority to 75%?*

*\*\* The Board should not have a vote on EVERY component of the plan*

*\*\* We will not dictate cost issues to ALP; another "can't outvote" example on a rate structure*

*\*\* Minority perspectives should be captured for dissenting positions*

## **Objectives & Potential Missing Objectives**

- 1. Economic Development: Maximize water for development**
- 2. Economic Development MO (means objective): Maximize growth rates**
- 3. FO Maximize revenue opportunities for Local and County governments**
- 4. Agencies: Maximize native fauna diversity and abundance**
  - Protecting imperiled species might fall under some other category such as maximizing native fauna diversity but that is an Agency concern.
  - Maintaining and protecting native species
  - Maximize Native Fauna and diversity & abundance (NGO's)
- 5. Agencies: Maximize Native vegetation (e.g. shoal lily)**
  - Restoring pre-impact vegetative communities" might better reflect the biological perspective than simply "maximizing native vegetation."
- 6. Agencies MO: Maximize fish populations**
- 7. Agencies MO: Maximize reproductive success**
- 8. Agencies MO: Maximize spawning habitat**
- 9. Landowners: Minimize bank erosion**
- 10. Landowners: Maximize property values**
- 11. Landowners MO: Minimize daily radical fluctuations**
- 12. Landowners MO: Implement ramping routine**
- 13. Reservoir Users: maximize water levels**
  - Reservoir Users - Stabilize Water Levels instead of maximize water levels
- 14. Reservoir Users: Maximize water available for consumption**
- 15. Reservoir Users: Maximize water quality**
- 16. Reservoir Users: Maximize recreational angling and boating opportunities**
- 17. Reservoir Users MO: Minimize water drawdown**
- 18. River Recreation: Maximize recreational angling opportunities**
  - Maximize recreational angling Success (River Recreation) -may need to define "angling opportunities" clearly to include "success" I.e. more and bigger fish.
- 19. River Recreation: Maximize recreational boating opportunities**

20. River Recreation MO: Maximize prey production
21. River Recreation MO: Maintain flow in pools
22. APC: Minimize total cost
23. APC: Maximize operational flexibility
24. APC MO: Minimize flow requirements
25. MO: Minimize downstream flooding/economic loss (Agencies/APC)
26. NGO: Minimize river fragmentation
27. NGO: Maximize water quality
28. NGO: Minimize consumptive uses (net loss)
29. (NGOs) Conserving water for the future
30. MO: where licenses permit, generically examine the role of high water events (that don't trigger flood control events) in floodplain forest health, fish spawning, and invertebrate food base production
  - We won't go outside any of the requirements specified in any operating license
31. Guiding Principles and Other Thoughts
  - Regulatory agency: needs the outcome to be enforceable
  - MO: Mitigation for losses due to low/inadequate flows
  - APC MO: Compensation for lost generation revenue
  - Ocoee river user fees paid for water releases
  - MO: Minimizing impervious surfaces
  - Maximize waterfowl hunting opportunities (Reservoir users/River Recreation)
  - Synchronize series of reservoirs and dams so that the entire system functions more naturally
  - Mimicking seasonal flow patterns, etc., all are important in attempting to achieve a natural flow regime
  - The terms "Maximize and Minimize" are too positional -- perhaps "Equalize" is more appropriate

## Objectives Poll I

### Voting Results

10-Point Scale (Allow bypass)

Number of ballot items: 31

Total number of voters (N): 23

#### Mean

8.18	1. Reservoir Users: Maximize water quality
8.14	2. NGO: Maximize water quality
7.77	3. Reservoir Users: Maximize recreational angling and boating opportunities
7.09	4. MO: Minimize downstream flooding/economic loss (Agencies/APC)
7.05	5. Reservoir Users: maximize water levels
7.00	6. Reservoir Users MO: Minimize water drawdown
7.00	7. MO: where licenses permit, generically examine the role of high water events (that don't trigger flood control events) in floodplain forest health, fish spawning, and invertebrate food base production
6.91	8. Agencies MO: Maximize spawning habitat
6.91	9. NGO: Minimize consumptive uses (net loss)
6.90	10. APC: Maximize operational flexibility
6.86	11. (NGOs) Conserving water for the future
6.86	12. Agencies MO: Maximize reproductive success
6.82	13. Agencies: Maximize native fauna diversity and abundance
6.68	14. FO Maximize revenue opportunities for Local and County governments
6.68	15. APC: Minimize total cost
6.64	16. Landowners: Minimize bank erosion
6.59	17. Agencies: Maximize Native vegetation (e.g. shoal lily)
6.59	18. River Recreation: Maximize recreational angling opportunities
6.59	19. Agencies MO: Maximize fish populations
6.48	20. Economic Development: Maximize water for development
6.48	21. Economic Development MO (means objective): Maximize growth rates
6.41	22. River Recreation: Maximize recreational boating opportunities
6.36	23. Landowners: Maximize property values
6.27	24. Reservoir Users: Maximize water available for consumption
6.14	25. River Recreation MO: Maximize prey production
6.14	26. Landowners MO: Implement ramping routine
6.14	27. Landowners MO: Minimize daily radical fluctuations
6.05	28. NGO: Minimize river fragmentation
6.00	29. River Recreation MO: Maintain flow in pools
5.95	30. APC MO: Minimize flow requirements
3.86	31. Guiding Principles and Other Stuff

### Number of Votes in Each Rating

	10	9	8	7	6	5	4	3	2	1	Total	STD	n
1. Reservoir Users: Maximize water quality	7	2	8	1	1	3	0	0	0	0	180	1.71	22
2. NGO: Maximize water quality	7	3	7	1	0	3	1	0	0	0	179	1.88	22
3. Reservoir Users: Maximize recreational angling and boating opportunities	7	1	5	3	1	5	0	0	0	0	171	1.95	22
4. MO: Minimize downstream flooding/economic loss (Agencies/APC)	6	1	3	1	2	8	1	0	0	0	156	2.22	22
5. Reservoir Users: maximize water levels	6	1	3	2	2	4	4	0	0	0	155	2.34	22
6. Reservoir Users MO: Minimize water drawdown	6	1	2	2	3	5	3	0	0	0	154	2.29	22
7. MO: where licenses permit, generically examine the role of high water events (that don't trigger flood control events) in floodplain forest health, fish spawning, and invertebrate food base production	7	0	6	0	0	3	1	0	0	3	140	3.23	20
8. Agencies MO: Maximize spawning habitat	5	0	6	0	2	6	3	0	0	0	152	2.22	22
9. NGO: Minimize consumptive uses (net loss)	5	1	5	1	0	7	2	1	0	0	152	2.35	22
10. APC: Maximize operational flexibility	8	0	2	0	1	8	0	0	1	1	145	2.9	21
11. (NGOs) Conserving water for the future	5	0	6	0	1	7	3	0	0	0	151	2.25	22
12. Agencies MO: Maximize reproductive success	5	1	5	1	2	4	3	0	0	1	151	2.53	22
13. Agencies: Maximize native fauna diversity and abundance	7	0	3	0	2	5	4	1	0	0	150	2.58	22
14. FO Maximize revenue opportunities for Local and County governments	5	0	3	1	2	10	1	0	0	0	147	2.15	22
15. APC: Minimize total cost	6	1	2	2	1	8	0	0	0	2	147	2.78	22
16. Landowners: Minimize bank erosion	3	0	8	2	0	5	3	0	0	1	146	2.34	22
17. Agencies: Maximize Native vegetation (e.g. shoal lily)	5	0	4	0	3	5	5	0	0	0	145	2.32	22
18. River Recreation: Maximize recreational angling opportunities	6	0	2	1	1	8	4	0	0	0	145	2.4	22
19. Agencies MO: Maximize fish populations	5	0	5	0	2	6	3	0	0	1	145	2.54	22
20. Economic Development: Maximize water for development	5	1	3	1	0	9	2	2	0	0	149	2.45	23
21. Economic Development MO (means objective): Maximize growth rates	3	0	4	3	0	9	2	0	0	0	136	1.99	21
22. River Recreation: Maximize recreational boating opportunities	4	0	3	3	1	6	5	0	0	0	141	2.2	22
23. Landowners: Maximize property values	5	1	1	1	1	11	1	0	0	1	140	2.5	22
24. Reservoir Users: Maximize water available for consumption	5	0	2	1	1	10	1	1	1	0	138	2.45	22
25. River Recreation MO: Maximize prey production	4	0	2	3	0	7	5	1	0	0	135	2.29	22
26. Landowners MO: Implement ramping routine	2	1	7	1	2	1	6	0	0	2	135	2.62	22
27. Landowners MO: Minimize daily radical fluctuations	3	0	7	2	0	3	4	0	1	2	135	2.78	22
28. NGO: Minimize river fragmentation	4	2	4	1	0	4	2	1	1	3	133	3.17	22
29. River Recreation MO: Maintain flow in pools	4	0	2	2	2	5	6	0	0	1	132	2.47	22
30. APC MO: Minimize flow requirements	4	1	4	1	0	3	5	2	0	2	131	2.94	22
31. Guiding Principles and Other Stuff	0	0	0	0	0	5	0	0	0	2	27	1.95	7

## Governance Guidelines

### Mean

- 3.78 1. Board members will commit some level of time, talent or treasure (resources) to the effort
- 3.77 2. Members will communicate openly and honestly about their needs
- 3.74 3. Board members will be appointed, elected or clearly-identified spokespersons for their interest group
- 3.74 4. Regular agendas and meeting times will be posted well in advance to enable maximum participation
- 3.70 5. Scientific findings will be distributed well in advance of Board meetings to allow adequate preparation by Board members
- 3.67 6. Board members will make every effort to be flexible, open to new ideas and listen to the ideas of others
- 3.59 7. The Board will not vote on regulatory, legally-mandated, license or other fundamental economic issues as part of this process
- 3.56 8. A facilitator will be used in the early stages of model development
- 3.56 9. Board members can bring alternates and technical advisory staff as non-voting members
- 3.52 10. No extreme positions (dramatic win/lose proposals) will be introduced into Board discussions (i.e. draining the lake)
- 3.50 11. Board meetings will be scheduled at a time and location convenient to all (evenings preferred)
- 3.48 12. Public input will be part of ongoing meetings and operations, but this input will be non-voting
- 3.44 13. GP: All Board members commit to be engaged for the long-term (5-7 years minimum)
- 3.44 14. Technical advisor team consisting of model builders, technical experts, a neutral biologist and a neutral economist will be established
- 3.44 15. Technical advisory team will be concerned with science and not policy management
- 3.41 16. Alternates can vote if the designated member is not present, provided they have regularly attended Board sessions and/or well informed on Board issues
- 3.41 17. GP: The process is underway, we don't back up for new members
- 3.37 18. Big outside regulatory, legal, and government agreements (i.e. tri-state water compact and the like) will need to be folded into the agreement as they occur
- 3.33 19. The Board will elect a Chairperson
- 3.33 20. A quorum will be established based on the number of members attending Board meetings
- 3.30 21. Minority positions (after a decision has been made) will be captured for later review
- 3.23 22. A project manager is needed to coordinate activities
- 3.23 23. Board members missing 2 (two) consecutive meetings will be asked to resign (alternates excepted)
- 2.96 24. Proxy voting will be acceptable within very strict guidelines (to be determined)
- 2.70 25. The Board will seek consensus in all decisions, but when a vote is required a 2/3rds majority can make decisions on the model and basic objectives
- 2.63 26. The Board will seek consensus in all decisions, but when a vote is required 75% majority can make decisions on the model and basic objectives
- 2.11 27. Proxy voting will be acceptable
- 1.96 28. The Board will seek consensus in all decisions, but when a vote is required a simple majority can make decisions on the model and basic objectives
- 1.85 29. Only 100% should be acceptable for Board decisions





	<b>4</b> Strongly Agree	<b>3</b> Agree	<b>2</b> Disagree	<b>1</b> Strongly Disagree	Total	STD	n
1. Board members will commit some level of time, talent or treasure (resources) to the effort	21	6	0	0	102	0.42	27
2. Members will communicate openly and honestly about their needs	20	6	0	0	98	0.43	26
3. Board members will be appointed, elected or clearly-identified spokespersons for their interest group	20	7	0	0	101	0.45	27
4. Regular agendas and meeting times will be posted well in advance to enable maximum participation	20	7	0	0	101	0.45	27
5. Scientific findings will be distributed well in advance of Board meetings to allow adequate preparation by Board members	19	8	0	0	100	0.47	27
6. Board members will make every effort to be flexible, open to new ideas and listen to the ideas of others	18	9	0	0	99	0.48	27
7. The Board will not vote on regulatory, legally-mandated, license or other fundamental economic issues as part of this process	19	6	1	1	97	0.75	27
8. A facilitator will be used in the early stages of model development	15	12	0	0	96	0.51	27
9. Board members can bring alternates and technical advisory staff as non-voting members	16	10	1	0	96	0.58	27
10. No extreme positions (dramatic win/lose proposals) will be introduced into Board discussions (i.e. draining the lake)	15	11	1	0	95	0.58	27
11. Board meetings will be scheduled at a time and location convenient to all (evenings preferred)	14	11	1	0	91	0.58	26
12. Public input will be part of ongoing meetings and operations, but this input will be non-voting	14	12	1	0	94	0.58	27
13. GP: All Board members commit to be engaged for the long-term (5-7 years minimum)	12	15	0	0	93	0.51	27
14. Technical advisor team consisting of model builders, technical experts, a neutral biologist and a neutral economist will be established	12	15	0	0	93	0.51	27
15. Technical advisory team will be concerned with science and not policy management	13	13	1	0	93	0.58	27
16. Alternates can vote if the designated member is not present, provided they have regularly attended Board sessions and/or well informed on Board issues	11	16	0	0	92	0.5	27

17. GP: The process is underway, we don't back up for new members	13	12	2	0	92	0.64	27
18. Big outside regulatory, legal, and government agreements (i.e. tri-state water compact and the like) will need to be folded into the agreement as they occur	10	17	0	0	91	0.49	27
19. The Board will elect a Chairperson	9	18	0	0	90	0.48	27
20. A quorum will be established based on the number of members attending Board meetings	11	14	2	0	90	0.62	27
21. Minority positions (after a decision has been made) will be captured for later review	8	19	0	0	89	0.47	27
22. A project manager is needed to coordinate activities	8	16	2	0	84	0.59	26
23. Board members missing 2 (two) consecutive meetings will be asked to resign (alternates excepted)	10	13	2	1	84	0.76	26
24. Proxy voting will be acceptable within very strict guidelines (to be determined)	6	16	3	2	80	0.81	27
25. The Board will seek consensus in all decisions, but when a vote is required a 2/3rds majority can make decisions on the model and basic objectives	6	10	8	3	73	0.95	27
26. The Board will seek consensus in all decisions, but when a vote is required 75% majority can make decisions on the model and basic objectives	6	8	10	3	71	0.97	27
27. Proxy voting will be acceptable	2	8	8	9	57	0.97	27
28. The Board will seek consensus in all decisions, but when a vote is required a simple majority can make decisions on the model and basic objectives	1	6	11	9	53	0.85	27
29. Only 100% should be acceptable for Board decisions	2	2	13	10	50	0.86	27

## **Lessons Learned and Recommendations**

1. Include definitions section to get members on same frame of reference
2. Stress & Re-stress definitions of maximize and minimize
3. Adequately advertise and contact potential stakeholders to encourage participation by a wide variety of groups and foster an atmosphere of inclusion.
4. Make sure there are equal number of participants from all stakeholders groups.
5. Clearly define the difference between objectives and actions,
6. Identify protocols for electing chairpersons and selecting facilitators/project managers
7. One board rep per agency
8. Do not allow over-representation when setting goals/objectives
9. Equity of stakeholder representation - voting skewed by many reps representing one entity (i.e. APC)
10. Short overview of modeling
11. Meetings should be face to face (not phone conferences) as much as possible to maximize effectiveness of meetings.
12. When developing or discussing a "generic" plan or model you should not let a real life issue become confused with it.
13. Stress the fact that identification of objectives is not synonymous with the valuation (e.g. rankings) of objectives. Also stress that the valuations will be conducted by the board.
14. Identify available data
15. Alabama Office of Water Resources should be key player/pivot
16. All data should be shared in advance of meeting.
17. Cannot over-emphasize the importance of good facilitation.  
Good job, B.
18. Stress the fact the modeling will only begin after the identification of objectives and decision alternatives.
19. Identify extreme/unreasonable requests
20. No attorneys
21. Don't try to pretend that the "smelly" dead moose is not on the table; deal with the issue.
22. Be Reasonable

## **Proposed Purposes of the Board (1.1)**

- Managing and improving a single source Adaptive Management Model for science-based decision-making
- Providing a forum for judging the success of the Adaptive Management process that will continuously improve and refine the model
- Balancing river restoration with hydropower generation and reservoir needs
- Examining and recommending consensus-based modifications to operations from RLH that improves river conditions below the dam
- Exploring and communicating issues that could be impacted by recovering the river below the dam

### **Recommended Guiding Principles**

- Membership on the Board will never preclude a member from exercising their rights (individually or on behalf of the group they represent) and acting independently
- The model is a tool, not a decision package that produces automatic outcomes. Ultimate choices will be made by participating resource agencies and members. The Board will influence these decisions, but will not have authority to impose them.
- Recommendations of the Board need to carry weight. Speaking with a strong, unified voice is recognized as the chief means of accomplishing this. Strongly supported, consensus-based recommendations will be a guiding principle. Such positions will provide credibility and help avoid court-imposed solutions that are unsatisfactory to all.
- Strong, consensus-based recommendations will gain attention and respect from FERC. This must be considered as well.
- The Board will be a very long-range project continuing over time. 5-7 year participation should be expected from member organizations
- Board members will strive for a more candid discussion of difficult issues in face-to-face situations and less public confrontation in the media. Confrontational approaches are recognized as generally unproductive to the process.
- Board members may want to agree to specified "wait and see" period (6-months?) that will enable the process to get established. During this period, members will agree not to toss any legal "bombs" or initiate new post card campaigns.
- Early tangible progress will enable some Board members to demonstrate positive results. This will enable and encourage continued participation.

A draft will be prepared and submitted at the next Board meeting by Katie Mickett

## **Harris Objectives (Version 1.1)**

### **1. Maximize water for economic development**

### **2. Maximize economic development opportunities**

### **3. Maximize native fauna diversity and abundance**

### **4. Maximize native floral diversity and abundance**

### **5. Minimize bank erosion downstream from Harris**

Planned - controlled growth in the watershed area for ag, retail, commercial and industrial areas

### **6. Maximize reservoir water level**

Minimize bank erosion UPSTREAM from Harris was proposed

This CAN be included in the modeling, but potentially, this is a huge issue. Modelers advise considering thoroughly the scoping implications if this is added to AM objectives

Boat traffic a key factor

The precision of cause/effect has a lower degree of confidence for the model builders

### **7. Maximize water (economically) available for consumption**

### **8. Maximize reservoir water quality**

### **9. Maximize reservoir angler/recreation opportunities**

Swimming access opportunities at Lake Wedowee are limited and largely confined to boat docks & ramps. Flat Rock is the only alternative for many residents.

Swimming access to the lake would be useful to some homeowner associations.

### **10. Maximize boating opportunities downstream from Harris**

Maximizing boating opportunities upstream from Harris suggested as well

### **11. Maximize angler opportunities downstream from Harris**

This needs further discussion and clarification. It could mean either access or angler success. Not everyone baits their hooks when they go fishing. Need to agree to agreement on the assumptions here. What are the opportunities downstream?

### **12. Minimize total cost to APC**

### **13. Maximize APC operation flexibility**

### **14. Minimize river fragmentation**

### **15. Maximize water quality downstream from Harris**

This may present an opportunity for increased monitoring of water quality coming into the reservoir. This is already covered in objective 8.

### **16. Minimize consumptive uses (net loss)**

## **Harris Governance Guidelines**

- 1. Guiding Principal: The process is underway, we don't back up for new members**
- 2. Guiding Principal: All Board members commit to be engaged for the long-term (5-7 years minimum)**
- 3. Board members will be appointed, elected or some other clearly-identified spokesperson for their interest group**
- 4. All Board members commit some level of time, talent or treasure (resources) that will be contributed to the effort**
- 5. Board members may bring alternates and technical advisory staff to participate as non-voting members**
- 6. Board alternates may vote if the designated member is not present, provided they have regularly attended Board sessions and/or well informed on Board issues.**
- 7. The Board will not vote on regulatory, legally-mandated, license or other fundamental economic issues as part of this process**
- 8. Minority positions (after a decision has been made) will be captured for later review**
- 9. Public input will be part of ongoing meetings and operations, but any such input will be strictly non-voting**
- 10. Regular agendas and meeting times will be planned and posted well in advance to enable maximum participation**
- 11. Scientific findings will be distributed well in advance of Board meetings to enable adequate technical preparation by Board members**
- 12. Commitment to participate is crucial. Board members missing 2 (two) consecutive meetings will be asked to resign (alternates excepted)**
- 13. A technical advisory team (or teams) will be chartered by the Board. This team will include model builders, technical experts, a neutral biologist and a neutral economist and others as needed to address Board-chartered issues.**

The technical advisory team is not a decision-making body. Membership is not restricted to the functions listed

There is no limitation to a single technical advisory team. Multiple teams may be established as determined and chartered by the Board.
- 14. The technical advisory team will focus on specific technical issues, not policy management**
- 15. The Board will seek consensus in all decisions, but when a decision is required a 2/3rds majority will constitute a decision on the model and basic objectives**

Quorum will consist of 50% of attendees +1
- 16. A facilitator will be used to guide the early stages of model development**



- 17. The Board may elect a Chairperson in the future**
- 18. Members will communicate openly and honestly about their needs**
- 19. Board members will make every effort to be flexible, open to new ideas and listen to the ideas of others**
- 20. A project manager is needed to coordinate activities. Katie Mickett will fulfil this role for the next year.**
- 21. Big outside regulatory, legal, and government agreements (i.e. tri-state water compact and the like) will need to be integrated into the AM model as they occur**
- 22. No extreme positions that would result in dramatic win/lose proposals for Board members will be introduced Board discussions (i.e. draining the lake)**
- 23. Board meetings will be scheduled at a time and location convenient to all (evenings preferred)**
- 24. A quorum will be established based on the number of members attending Board meetings**
- 25. Proxy voting will be acceptable on issues determined by the Board**

## 5/1 Discussion Notes

Missing members from the Board discussion that will be included in the future will include:

AL Parks & Recreation  
Office of Water Resources  
Middle Tallapoosa (x)  
Clay County Commissioner

- Governance discussion: we agree to one person, one vote for Board decisions
- **Assume 15 to 18 Board members; what's a quorum?**
  - 1 more than half would equal 9 for a simple majority. This is typical for most 5013c corporations. Avoid a specific number for now. Brett recommends 1 + 50% for a quorum or 51%
  - Can't call a vote with less than half the Board members present at any meeting
- Governance is about the objectives and assumptions that the model will take in providing management choices. It should provide a mechanism for working together. This will guide the assumptions that go into the technical model.
- Strong Majority for decisions? What's a choice?
- Worst case would be 9 present with 6 in favor. Meeting could take place in this case, but decisions could NOT be made. Since we're allowing proxies there should be few problems in the future with low turnout.
- Is it OK to teleconference in for a Board meeting? What about a situation where USFWS might get called out for an emergency situation? Agreed that this will be acceptable under special circumstances for exceptional situations.
- If a situation is well-defined and communicated well in advance, proxies could be sent out in advance for discussion and voting, proxies will be OK. Last minute call-ins, or e-mail proxy votes are to be discouraged. We want full participation at the meetings.
- Quorum is a majority of members +1. The Board can have a discussion at any time, but 2/3 majority of membership will be required for a decision.
- Selecting a Chair should be defined. The role of the Chair would be to keep order, maintain an orderly flow of agenda (to organize, but not control), and distribute meeting minutes. A neutral, (nonvoting) manager/Chair was discussed. Counterpoint is it can be difficult to find a 3<sup>rd</sup> party with sufficient energy
- If a facilitator is used, it may not be necessary to have a Chair for the committee. Organizing, meeting setup/scheduling dates and calendars neutrally managing sessions, conducting the meetings and moving agendas forward could be potential roles for this person. The team chose to start with this format.
- Central project scheduling and project management has been a past weak link in keeping this project organized. Everybody agrees this is important, but without a central coordinator this is tough. There could be separate roles from the facilitator. The role of making sure the data is moving and calendars are coordinated and communication flows is critical.



- It is possible that the facilitator could be the same as the project manager
- Any candidates for potential Project Managers? Katie's position is funded for the year. This could be an alternative for coordination. Can the grant be renewed beyond one year via Auburn University? This provides a full year of organization for moving ahead. Katie will provide website, coordination and calendaring for a year
- APC agrees to sponsor a reasonably-priced facilitator, as needed for a year.
- Proxy voting will be acceptable on issues determined by the Board

## Objectives Discussion Notes

- Separate capital cost and operating costs could be helpful from some Board members to understand the issues. A clearer understanding of the hard numbers was requested. Capital costs vs. loss percentage. Dollar figures on the operating costs of lost hydropower generating would be beneficial in the model
- Swimming access for Lake Wedowee is limited. Primarily restricted to boat ramps
- There may be value in dividing up the 47 miles of river reach into segments. Not all of it is in the same condition. Not all has the same potential for restoration (or associated cost). Brad proposes 3 segments:
  - Harris dam to Crooked Creek
  - Crooked Creek to Wadley
  - Wadley downstream.
- Does this make sense from a feasibility perspective? From a practicality standpoint, biological data isn't available for all of these segments yet. Other factors (hydrology) could be modeled though.
- Stan: degradation downstream from the dam is a given. Partition of impact might make sense for this reason. Increased flows could come from the dam and other structures. A long-term issue
- The FERC perspective: the AM model is extremely helpful. If in the context of the Board/Stakeholder team FERC can see local decisions made at a local level it's much more likely FERC can support the recommendations. Outside influences can potentially present problems to FERC. Understand that they are an independent commission that makes their own choices. There are strong trends, but no guarantees. The stronger the recommendations the Board can make, the greater the chance that the Commission will listen and support them.
- **A draft of the Board's charter will be prepared for the next meeting**
- What's a reasonable length of time? Brad thinks 2 months is adequate time to develop a plan to put water back in the river. The model is not a panacea to guide decision making, it will not be accepted uncritically. If progress is being made toward the goal Brad's team will modify pressure tactics.
- Uncertainty is one of our biggest challenges for all partners. Establishing a starting point will enable the Board to move ahead. We can assume that whatever choice we make on the model initially will be wrong. We won't get the first edition perfect.

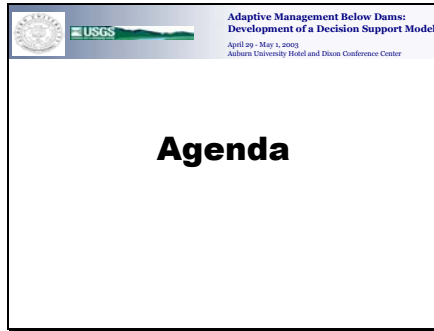
- Building trust on the Board is a key component. Steps to overcome this baggage have to be taken. Board members need to be accessible to the media, but controlling public discord and having fewer discussions/conflicts in the media would be useful. We can't bring back the post cards that are out there already.
- Overstatement of claims (ecological desert) has damaged trust and credibility. Sensationalizing the Tallapoosa river headlines needs to be countered to the degree the Board can agree on the facts and situational analysis
- Requests for quick action are appreciated, but immediate response may be too optimistic at Brad's 60 day target. Something needs to start to happening and tangible results need to be shown. A 6 month trial period was recommended.
- What did we agree on today? What progress was made? Being able to say the same thing is a huge advantage when we go to the media.
- The Board agreed to pend a decision on electing a Chair the future
- How will members be appointed to the Technical committee? The Board will look for expertise for answering specific issues and sanction specific technical committees to address them.
- The Board is not expecting one model; there is room here for competing beliefs (Duck model is a good example).
- A joint statement on state of the river/reservoir and challenges would be valuable. For next meeting, each Board member will prepare a list setting forth:
  - This is what we think the facts are; what we think we agree on
  - What where we disagree
  - This is where we agree there is uncertainty
- A starting fact sheet of agreement/uncertainties and disagreements will be prepared by Katie
- Stan updated the team on other factors in the works. A process began in 1998 and has changed direction several times. Players have been come and gone. This meeting is an attempt to resurrect these efforts more formally and pick up the pace. Today's meeting doesn't mean that past work is invalid or should be ignored. AL DNR will soon present a concept paper to ALC on what we believe we can live with. This process will not be slowed or halted. ALC can determine if they would like to address the proposal or incorporate it into Board agenda for discussion. The proposal will contain 2 segments (concept and figures).
- AL DNR is a proponent of both the river and the reservoir. It can't operate on the premise that the model will drive the solution. Agency expectations are that it may not help at Harris, but could be when Harris comes up for relicensing.
- AL DNR wants to support the AM Board and is willing to share the recommendations with the Board. ALC needs to approve. USFWS supports...doesn't want to wait 5-7 years to see results.
- **ALC: Strawman has to be evaluated by the Board and modelers and will be shared. ALC will respond.**




- FERC: this is a tremendous opportunity to listen to a broadband of stakeholders and make a call at the local level vs. the Federal level. The Commission almost always recognizes this as a preferable way to go.
- A communication strategy for Board members needs to be developed. Homeowner representatives especially want to be involved. Some feel they haven't participated fully in DNR's process. Discussion revealed that there are multiple reasons for this...all easily addressed.
- Brett recommended a future meeting norm that very little positive is served by reliving the past. Agreeing, as a team, to leave past issues behind would be productive.
- Calendar Discussion: Next Board meeting may need to be scheduled quickly enough so complete digestion of the ALDNR proposal won't be possible.
- **Next Meeting scheduled for Alabama Power, Roanoke facility, Wednesday 5/21 at 6 p.m. (approximately 3 hours)**
- **Agenda items:**
  - Each group will assemble a version of the starting point that will enable the team to develop a joint communiqué. Bullets please on what we agree on, disagree and areas of uncertainty. Send to: Katie Mickett ([mickekd@acesag.auburn.edu](mailto:mickekd@acesag.auburn.edu)) 334-844-9318. [www.ag.auburn.edu/alcfwru/fisheries](http://www.ag.auburn.edu/alcfwru/fisheries)
  - A draft purpose statement will be distributed in advance that will enable the Board to write a charter. This specify the "ins and outs" of what the Board will stand for.
  - Some form of the AL DNR model proposal will be presented for discussion. It may separate into concept and figure segments. APC agrees it is OK to distribute whatever is available to the team. Modeling review will take time at APC, so figures may not be part of the package. Some form of overview of the concept piece will be presented.
  - Formal approval of Board membership, governance and operating guidelines

# Appendix: Pre-session Feedback Results

Slide 1




**Adaptive Management Below Dams:  
Development of a Decision Support Model**  
April 29 - May 1, 2003  
Auburn University Hotel and Dixon Conference Center

## Agenda

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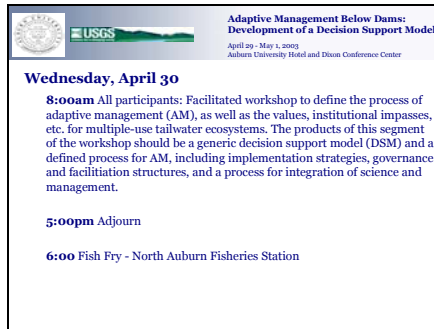
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Slide 2




**Adaptive Management Below Dams:  
Development of a Decision Support Model**  
April 29 - May 1, 2003  
Auburn University Hotel and Dixon Conference Center

**Wednesday, April 30**

**8:00am** All participants: Facilitated workshop to define the process of adaptive management (AM), as well as the values, institutional impediments, etc. for multiple-use tailwater ecosystems. The products of this segment of the workshop should be a generic decision support model (DSM) and a defined process for AM, including implementation strategies, governance and facilitation structures, and a process for integration of science and management.

**5:00pm** Adjourn

**6:00** Fish Fry - North Auburn Fisheries Station

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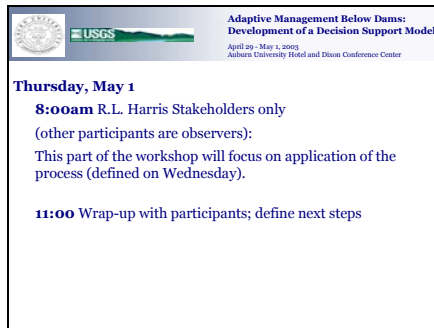
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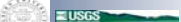
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Slide 3




**Adaptive Management Below Dams:  
Development of a Decision Support Model**  
April 29 - May 1, 2003  
Auburn University Hotel and Dixon Conference Center

**Thursday, May 1**

**8:00am** R.L. Harris Stakeholders only  
(other participants are observers):  
This part of the workshop will focus on application of the process (defined on Wednesday).

**11:00** Wrap-up with participants; define next steps

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Slide 4

Adaptive Management Below Dams:  
Development of a Decision Support Model  
April 29 - May 1, 2003  
Auburn University Hotel and Dixon Conference Center

## Preession Feedback Summary

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Slide 5

### What Group Best Describes Your Affiliation?

Affiliation	Percentage
Federal Agency	28.6%
Non-governmental Organization	10.9%
Industry Interest	14.3%
Other	14.3%
State Agency	14.3%
Community Interest	4.8%
Landowner (or lease) or researcher	14.3%

Adaptive Management Below Dams:  
Development of a Decision Support Model  
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Slide 6

### Key challenges

- Lack of trust between partners
- Lack of understanding about what adaptive management is/isn't
- Balancing adequate flows to protect the fauna of the river without serious economic impacts to Alabama Power Company
- Participation from a representative group of all stakeholders
- Agreement that proposals will be based on sound science
- Willingness to compromise on individual benefits for the broader health of the river system
- Lack of easily accessible support data or information for decisions
- Past animosity

Adaptive Management Below Dams:  
Development of a Decision Support Model  
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Slide 7

**Key Challenges**

- Willing sources of funding
- Uncertainty what power generators may be asked/required to do or what it will cost
- Complex/expensive performance monitoring requirements for each round of the adaptive management process. Unknowns of what they might be or become.
- Limited understanding of hydrological or geomorphological processes
- Lack of agreement on management objectives (Winners/Losers)
- Lack of future-oriented thinking; too profit-centered



**Adaptive Management Below Dams:  
Development of a Decision Support Model**

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Slide 8

**Factors that could be part of a Win/Win**

- We are at the table talking to each other, so there must be some value in it.
- Clearly understanding operational constraints
- Compromise: accepting everyone won't get everything they want
- Understanding the difference between needs and positions
- Building trust that allows a more objective look at the data by partners
- Diverse stakeholder representation and participation
- Federal Law
- ID of the key environmental and economic parameters that can be measured
- More/better communication between all parties



**Adaptive Management Below Dams:  
Development of a Decision Support Model**

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Slide 9

**Factors that could be part of a Win/Win**

- Definition of clearer goals for all parties involved
- A belief that knowledgeable water managers and biologists are not as far apart on the issue as some might fear
- The scientific understanding of river systems has increased, along with our ability to model and study them, and produce convincing scenarios that benefit a broad range of users.
- Flexibility for future generations to make adjustments and develop revised solutions that will fit the altered conditions which will exist then.
- Desire of most stakeholders to seek a compromise solution satisfactory to the majority.



**Adaptive Management Below Dams:  
Development of a Decision Support Model**

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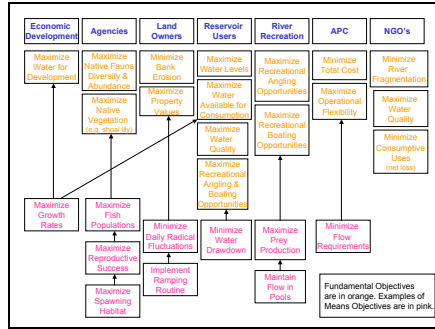
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Slide 10




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Slide 11

**Missing Objectives?**

- The terms "Maximize and Minimize" are too positional -- perhaps "Equalize" is more appropriate.
- Maximize revenue opportunities for Local and County governments
- Regulatory agency: needs the outcome to be enforceable
- Compensation for lost generation revenue
- Meeting resource management goals and objectives should be considered in attaining objectives
- The role of flooding in floodplain forest health, fish spawning, and invertebrate food base production
- The role of flooding in reducing property values and causing economic loss.


**Adaptive Management Below Dams: Development of a Decision Support Model**  
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Slide 12

**Missing Objectives?**

- The goal of many NGO's relative to river management is the maintenance and recovery of biodiversity, and to naturalize ecosystem function relative to current operational regimes.
- Restoring a natural system to include a functioning aquatic ecosystem to enhance all aquatic creatures not just fish.
- (NGOs) Conserving water for the future
- Maintaining and protecting native species
- Minimizing impervious surfaces
- Other Federal agencies such as the Corps of Engineers would be interested in balanced various resource uses such as flood control, navigation, hydropower generation, fish and wildlife conservation (reservoir and river), M&I water supply, water quality


**Adaptive Management Below Dams: Development of a Decision Support Model**  
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Slide 13

**Missing Objectives?**

- Federal Maritime Administration would be interested in barge navigation (flow support for downstream areas on the Alabama River, etc.
- Maximize Native Fauna and diversity & abundance (NGO's)
- Maximize recreational angling Success (River Recreation) -may need to define "angling opportunities" clearly to include "success" i.e. more and bigger fish.
- Maximize waterfowl hunting opportunities (Reservoir users/River Recreation)
- Maximize safe swimming opportunities (Reservoir Users/River Recreation)
- Minimize downstream flooding/economic loss (Agencies/APC)
- Synchronize series of reservoirs and dams so that the entire system functions more naturally (Agencies/APC/NGO's)



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Slide 14

**Missing Objectives?**

- Maintaining a continuous instream flow of sufficient quantity and quality.
- Limit the number of hydropeaking events per day
- Mimicking seasonal flow patterns, etc., all are important in attempting to achieve a natural flow regime.
- Many of the objectives which fall under NGO's or land owners (habitat fragmentation, habitat stability or bank erosion, etc.) would also fall under the concerns of Agencies.
- Protecting imperiled species might fall under some other category such as maximizing native fauna diversity but that is an Agency concern.
- Restoring pre-impact vegetative communities" might better reflect the biological perspective than simply "maximizing native vegetation."
- Reservoir Users - Stabilize Water Levels instead of maximize water levels



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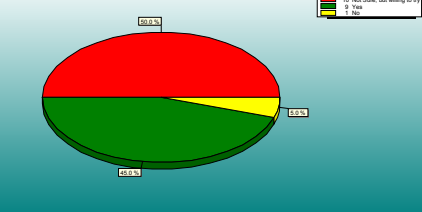
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Slide 15

**Do you believe it is possible to create an Adaptive Management Plan acceptable to all parties?**



Response	Percentage
Yes	70%
No	20%
Not sure, but willing to try	10%



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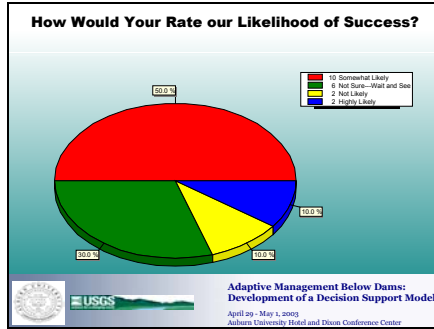
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Slide 16




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Slide 17

- Ingredients of a Productive Workshop**
- Objectivity and fairness
  - Good initial ground rules
  - We need to be aware there are many very complex issues and a stakeholder with a single issue can slow the process down when discussing very technical issues. Can Stakeholders and issues be grouped to expedite reaching a solution?
  - A genuine desire to remain engaged
  - Development of "trust" relationship between involved stakeholders
  - Partners should be able to support their positions with science, whether arguing for consistent lake levels or naturalized river regime.
  - Agreement the needs of the whole are greater than needs of the few
  - A clear understanding there is value in the long view.
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Slide 18

- Ingredients of a Productive Workshop**
- Clear definition of what the "long view" means
  - Getting each group to clearly define their needs
  - Agreement for all groups to listen
  - Participants need to trust that the data will lead to answers
  - The idea that the adaptive management does not have to be open ended will help some parties.
  - This workshop premise must be enforced in fairness to all participants
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Slide 19

**Ingredients of a Productive Workshop**

- Encouraging folks to get to know others on a more personal level who are not in their "categories". Encourage everyone to break bread.
- Get all stakeholders represented/involved in the process (including this workshop and subsequent dialogue/email/meetings).
- Make good faith offers for meaningful changes
- Willingness to accept tradeoffs
- Start with a clean slate
- Be creative with solutions
- Be armed with facts that are accurate and trusted by all(most) partners.
- Facilitate without bias


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Slide 20

**Ingredients of a Productive Workshop**

- Don't hold nothin' back. Get everything out in the open
- Open-mindedness & frankness
- Key stakeholders must be present
- Candid and above board negotiations from all stakeholders


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Slide 21

**Additional Thoughts**

- Open, honest dialogue during and after the workshop is a must
- Balance stakeholder representation and participation in a theoretical exercise that does not overshadow, represent or anticipate an actual reality
- I welcome an opportunity to shape river operations toward more natural conditions, and do away with rigid artificial regimes based on comfort, laziness, and fear.
- Protect and enhance aquatic resources of impounded and riverine waters.
- Mutual respect for opinions. Consensus on issues.
- Linkage to Corps mission
- Funding to support participation


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Slide 22

**Other Thoughts**

- Willingness for all participants to be able to compromise to reach a solution.
- Willingness of Alabama Power company to accept some economic impact to facilitate a solution that is favorable to the enhancement fauna diversity and recreational flows.

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Slide 23

**Let's Get Busy**

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Slide 24

**Adaptive Management Workshop  
Process Objectives**

- The purpose of this process will be to engage the Tallapoosa stakeholders to determine if a consensus-based recommendation that can be accepted and adopted by Alabama Power Company for managing flows on the river.
- For the Adaptive Management recommendation to be successful, Management Objectives of the power company and the Resource Objectives of stakeholders must be evaluated to determine if a "common ground" can be identified that will satisfy the greatest number of constituents.



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Slide 25

**Adaptive Management Process Challenges**

- Criteria from all stakeholder for buy-in and commitment to the decision needs to be gathered up front
- Trust of each party in the purpose and process must be determined
- Willingness to follow the pre-negotiated guidelines and process is imperative
- Belief that a stakeholder supported solution is possible is a guiding tenet for engagement
- The alternative of "avoiding" FERC & doing nothing must be equally valued by all participants
  - Do nothing
  - FERC re-licensing
  - Adaptive Management




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Slide 26

**Scenarios**




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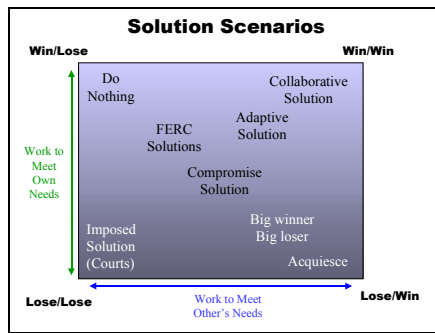
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Slide 27




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Slide 28

### Needs Analysis

Perceive Disagreements

- Relative priority "value" of each need
- Some needs appear competitive and mutually exclusive (zero-sum)
- Willingness of "others" to grant legitimacy of need
- Likelihood of creating an agreement, relative to investment of time




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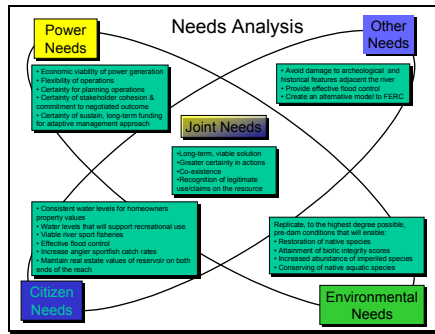
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Slide 29




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## Appendix: Session Attendees

Last Name	First Name	Affiliation	Email	Phone	Fax	Address	Primary Stakeholder
Akridge	Mike	Southern Company Generation	rmakridg@southernco.com	(205) 257-1398	(205) 257-1596	P.O. Box 2641, Birmingham, AL 35291-8180	
Bowers	Willard	Alabama Power	wlbowers@southernco.com	(205) 257-4090	(205) 257-4349	P.O. Box 2641, Birmingham, AL 35291	*
Carl	Bethany	Mobile Bay Watch	bcarl@mobilebaywatch.org	(251) 433-4229	(251) 432-8197	5 North Jackson Street, Mobile, AL 36602	
Clark	Dave	Lake Wedowee Property Owners' Association		(256) 357-4272		1640 Co Rd 499, Woodland, AL 36280	*
Conroy	Mike	GA Cooperative Fish and Wildlife Research Unit	conroy@forestry.uga.edu	(706) 542-1116	(706) 542-8356	School of Forest Resources, UGA, Athens, GA 30602	
Cook	Stan	Alabama Dept. of Conservation & Natural Resources	scook@dcnr.state.al.us	(334) 242-3471	(334) 242-2061	64 N. Union St., Montgomery, AL 36130	*
Duncan	Will	University of Georgia	wduncan@uga.edu	(706) 549-2522		UGA, Institute of Ecology, Athens, GA 30602	



Last Name	First Name	Affiliation	Email	Phone	Fax	Address	Primary Stakeholder
Dykes	Bill	Alabama Power Company	wcdykes@southernco.com	(205) 257-3585	(205) 257-4349	600 N. 18th St. Birmingham, AL	
Finch	Bill	Mobile Register	bfinch@mobileregister.com	(251) 219-5630			
Freeman	Mary	USGS Patuxent Wildlife Research Center	mary_freeman@usgs.gov	(706) 542-5181	(706) 542-1235	Institute of Ecology, University of GA, Athens, GA 30602-2202	
Glasier	John	Environmental Insight	jglasier@bellsouth.net	(256) 825-1752		P.O. Box 190, Dadeville, AL 36853	
Grand	Barry	ALCFWRU	grandjb@auburn.edu	(334) 844-9237		AL Coop Unit, 108 White Smith Hall, Auburn University, AL 36849-5418	
Hammett	D.J.	Randolph County Industrial Relations	djhammett2@aol.com	(256) 357-9834		Box 397, Wedowee, AL 36278	*
Harper	Patric	U.S. Fish and Wildlife Service	patric_harper@fws.gov	(251) 441-5857	(251) 441-6222	P.O. Drawer 1190, Daphne, AL 36532	



Last Name	First Name	Affiliation	Email	Phone	Fax	Address	Primary Stakeholder
Heinzen	Dave	Coalition of Associations at Lake Martin	drheinzen@hotmail.com	(256) 825-2642		316 Magnolia Dr., Dadeville, AL 36853	
Hess	Brent	Georgia Department of Natural Resources	brent_hess@mail.dnr.state.ga.us	(706) 845-4180	(706) 845-4182	4738 Mooty Bridge Rd, LaGrange, GA 30240	
Hoggle	Tom	Alabama Power Company	twhoggle@southernco.com	(256) 354-5784	(205) 257-1121	2761 Co Rd 100, Lineville, AL 36266	
Hooton	Matt	Emerald Triangle Commission	matthooton@charter.net	(256) 396-9328		P.O. Box 8, Lineville, AL 36266	*
Irwin	Elise	AL Cooperative Fish and Wildlife Research Unit	eirwin@acesag.auburn.edu	(334) 844-9190	(334) 844-9208	119 Swingle Hall, Auburn University, AL 36849	
Jolley	Jeffrey	AL Cooperative Fish and Wildlife Research Unit	jollejc@acesag.auburn.edu	(334) 844-9318	(334) 844-9208	103 Swingle Hall, Auburn University, AL 36849	
Knight	John	AL Cooperative Fish and Wildlife Research Unit	knighjr@acesag.auburn.edu	(706) 424-2495		208 E Samford Ave, Auburn, AL 36830	





Last Name	First Name	Affiliation	Email	Phone	Fax	Address	Primary Stakeholder
Mansfield	Ray	Lake Wedowee Property Owners Association	raymansf@aol.com	(256) 357-2863	(256) 357-2863	2028 County Road 235, Wedowee, AL 36278	
May	Bob	Lake Wedowee Property Owners Association	bmay767er@hotmail.com	(256) 357-2656		132 Geheld's Point, Wedowee, AL 36278	
McKitrick	Ronald	Federal Energy Regulatory Commission	ronald.mckitrick@ferc.gov	(770) 452-3778	(770) 452-3810	3125 Presidential Parkway, Suite 300, Atlanta, GA 30340	
McLane	Bradford	Alabama Rivers Alliance	bmclane@alabamarivers.org	(205) 322-6395	(205) 322-6397	2027 2nd Ave North, Birmingham, AL 35203	
Mickett	Katie	AL Cooperative Fish and Wildlife Research Unit	mickekd@acesag.auburn.edu	(334) 844-9318	(334) 844-9208	203 Swingle Hall, Auburn University, AL 36849	
Nichols	James	USGS, Patuxent Wildlife Research Center	jim_nichols@usgs.gov	(301) 497-5660	(301) 497-5666	Patuxent Wildlife Research Center, Laurel, MD 20708	
Nichols	Nick	Alabama Division of Wildlife & Freshwater Fisheries	nnichols@dcnr.state.al.us	(334) 242-3883	(334) 242-2061	64 North Union St., Ste 551, Montgomery, AL	



Last Name	First Name	Affiliation	Email	Phone	Fax	Address	Primary Stakeholder
Palmer	Alice	US Fish and Wildlife Service - Georgia Ecological Services	alice_palmer@fws.gov	(706) 613-9493 ext 22	(706) 613-6059	247 S. Milledge Ave, Athens, GA 30605	
Peeples	Alan	Alabama Power Company	alpeople@southernco.com	(205) 257-1401		600 N. 18th Street, Birmingham, AL 35291-8180	
Peterson	Jim	GA Cooperative Fish and Wildlife Research Unit	peterson@smokey.forestry.uga.edu	(706) 542-1166	(706) 542-8356	Warnell School of Forest Resources, UGA, Athens, GA 30602	
Pool	Gleason	Upper Tallapoosa Watershed Committee		(256) 363-3586		1089 Wright Way Dr., Wedowee, AL 36278	*
Poppe	Wayne	Tennessee Valley Authority	wcpoppe@tva.gov	(423) 751-7333		1101 Market St., Wedowee, AL 36278	
Raughton	Larry	Randolph County Commission	l&sfarm@acs\isp.com	(256) 357-2353	(256) 357-2365	2324 Co Rd 498, Woodland, AL 36280	*
Reynolds	Melissa	ALCFWRU	mejor1@yahoo.com	(334) 844-9267		1107 Wallace Ave, Opelika, AL 36801	



Last Name	First Name	Affiliation	Email	Phone	Fax	Address	Primary Stakeholder
Roy	Luke	AL Cooperative Fish and Wildlife Research Unit	royluke@acesag.auburn.edu	(334) 844-9318	(334) 844-9208	103 Swingle Hall, Auburn University, AL 36849	
Sides	Amy	Alabama Rivers Alliance	asides@alabamarivers.org	(205) 322-6395	(205) 322-6397	2027 2nd Ave. North, Suite A, Birmingham, AL 35203	*
Sim	Bill	Alabama Power Company	wasim@southernco.com	(205) 257-4136	(205) 257-4349	600 North 18th St., P.O. Box 2641, Birmingham, AL, 35291	
Smith	Sheila	Alabama Power Company	sosmith@southernco.com	(256) 396-5093	(256) 396-5446	P.O. Box 488, Wedowee, AL 36278	
Tapley	David	Conservation Unlimited	conservationunlimited@hotmail.com	(334) 546-4060	(334) 262-5040	P.O. Box 5101, Montgomery, AL 36104	*
Thompson	Ralph	USFWS	ralph_thompson@fws.gov	(251) 441-5858	(251) 441-6222	P.O. Drawer 1190, Daphne, AL 36526	*
Waites	David	Alabama Power Company	dgwaites@southernco.com				



<b>Last Name</b>	<b>First Name</b>	<b>Affiliation</b>	<b>Email</b>	<b>Phone</b>	<b>Fax</b>	<b>Address</b>	<b>Primary Stakeholder</b>
Walsh	Maureen	OK Cooperative Fish and Wildlife Research Unit	wmauree@okstate.edu	(405) 744-6342	(405) 744-5006	404 LSW, OK State U, Stillwater, OK 74074	
Wright	Lathonia	Randolph County Commission	pastorljwright@hotmail.com	(334) 863-2500 (256) 357-4980		2658 Co Rd 65, Wadley, AL 36276 / P.O. Box 228, Wedowee, AL 36278	